#### **WILLIAMSON COUNTY EMERGENCY SERVICES DISTRICT #3**

#### **1st QUARTER INVESTMENT REPORT**

#### FY2020-2021

This Quarterly Investment Report is for the period October 1, 2020 through December 31, 2020:

1. Summary information for each Williamson County Emergency Services District #3 bank account including beginning balance for the reporting period, additions and changes in the value during the period, ending balance for the reporting period and interest received during the period.

| Vera Bank Public Funds Interest Checking Accounts | October 2020    | November 2020   | December 2020   | Quarter Average |
|---|-----------------|-----------------|-----------------|-----------------|
| Beginning<br>Balance                              | \$11,392,340.92 | \$11,363,407.60 | \$11,559,711.33 | \$11,438,486.62 |
| Deposits  | \$952,961.43    | \$925,037.49    | \$3,151,953.43  | \$1,676,650.78  |
| Withdrawals                                       | \$981,894.75    | \$728,733.76    | \$1,082,943.18  | \$931,190.56    |
| Interest Earned                                   | \$5,798.99      | \$5,447.52      | \$6,102.93      | \$5,783.15      |
| Ending Balance                                    | \$11,363,407.60 | \$11,559,711.33 | \$13,628,721.58 | \$12,183,946.84 |
| % Yield Average                                   | 0.60%           | 0.58%           | 0.58%           | 0.59%           |

## 2. Summary of *General Fund* account:

Beginning balance at October 1, 2020: \$274,884.47 Average balance for Quarter: \$635,687.08 Average collected balance for Quarter: \$635,686.67 Ending balance at December 31, 2020: \$2,650,132.45

## 3. Summary of Sales & Use Tax account:

Beginning balance at October 1, 2020: \$4,253,236.48 Average balance for Quarter: \$4,160,695.56 Average collected balance for Quarter: \$4,160,695.00 Ending balance at December 31, 2020: \$4,237,717.34

## 4. Summary of Payroll account:

Beginning balance at October 1, 2020: \$132,975.45 Average balance for Quarter: \$256,009.97 Average collected balance for Quarter: \$256,009.69 Ending balance at December 31, 2020: \$73,508.06

## 5. Summary of Federal Grants account:

Beginning balance at October 1, 2020: \$214.18 Average balance for Quarter: \$214.29 Average collected balance for Quarter: \$214.00 Ending balance at December 31, 2020: \$214.50

## 6. Summary of Regular Reserve account:

Beginning balance at October 1, 2020: \$492,834.96 Average balance for Quarter: \$493,080.07 Average collected balance for Quarter: \$493,079.33 Ending balance at December 31, 2020: \$493,562.19

## 7. Summary of Debt Service Reserve account:

Beginning balance at October 1, 2020: \$340,161.21 Average balance for Quarter: \$340,330.39 Average collected balance for Quarter: \$340,330.00 Ending balance at December 31, 2020: \$340,663.15

## 8. Summary of Fire Station Reserve account:

Beginning balance at October 1, 2020: \$522,679.16 Average balance for Quarter: \$522,939.10 Average collected balance for Quarter: \$522,938.67 Ending balance at December 31, 2020: \$523,450.41 9. Summary of *Construction* account:

Beginning balance at October 1, 2020: \$5,156,979.56 Average balance for Quarter: \$5,156,765.57 Average collected balance for Quarter: \$5,156,765.00 Ending balance at December 31, 2020: \$5,152,838.29

10. Summary of Fire Apparatus Reserve account:

Beginning balance at October 1, 2020: \$218,375.45 Average balance for Quarter: \$198,473.26 Average collected balance for Quarter: \$198,473.00 Ending balance at December 31, 2020: \$156,635.19

11. Pledged Investments (Attached)

Submitted by:

Anne Cano, Treasurer / Investment Officer

Prepared by:

Scott D. Kerwood, Fire Chief

Management Report Pledged To: WILLIAMSON COUNTY ESD #3

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Date: 31-Oct-20

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| Report      | folio                |
|-------------|----------------------|
| VeraBank, N | .A., Henderson, TX   |
| Published:  | 11/3/2020 9:43:55 AM |
| Safekeeping | 1                    |

| Sarekeep         | ing   |                                   |                      |                 |                  |                                  |                                |                      |                       |                 |
|------------------|---|-----------------------------------|----------------------|-----------------|------------------|----------------------------------|--------------------------------|----------------------|-----------------------|-----------------|
| Code             | Cusip<br>Trans#                                     | Description<br>Maturity           | Prerefund            | Pool<br>Coupon  | Moody<br>StdPoor | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value |                 |
| Location         |   | FAS 115                           |                      | Обароп          | oldi ooi         | r lougeur croon                  | Original Fuel Value            | T di Valao           | Dook value            | manor value     |
| TIBD<br>TEXAS I  | 929845\$X4<br>485251201801301<br>NDPT BANK - DALLAS | 8/15/2028                         | DEP SCH DIST         | 4.000           | Aaa<br>AAA       | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$871,961.45          | \$928,347.75    |
| TIBD<br>TEXAS II | 929845TY1<br>485252201801301<br>NDPT BANK - DALLAS  | WACO TX INI<br>8/15/2030<br>AFS   | DEP SCH DIST         | 4.000           | AAA              | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,073,121.28        | \$1,167,740.00  |
| TIBD<br>TEXAS II | 041806KG4<br>485247201801301<br>NDPT BANK - DALLAS  | 8/15/2031                         | TX HGR EDU FIN CORPE | 4.000           | AAA              | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,011,743.36        | \$1,080,038.40  |
| TIBD<br>TEXAS II | 717087ZE3<br>485135201801301<br>NDPT BANK - DALLAS  | PFLUGERVIL<br>8/1/2032<br>AFS     | LE TX                | 4.000           | Aa1<br>AA        | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,053,565.44        | \$1,161,590.00  |
| TIB THE IND      | 421020M39<br>547977202003311<br>EPENDENT BANKERS    | HAYS CNTY 7<br>2/15/2037<br>AFS   | X                    | 4.000           | AA               | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,457,533.91        | \$1,531,170.00  |
| TIBD<br>TEXAS II | 093365EY6<br>485250201801301<br>NDPT BANK - DALLAS  | 8/15/2039                         | DEP SCH DIST         | 4.000           | Aaa              | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$439,461.45          | \$459,185.05    |
| TIB THE IND      | 882854Q70<br>546521202003241<br>EPENDENT BANKERS    | TEXAS ST W<br>4/15/2049<br>AFS    | FR DEV BRD           | 5.000           | AAA              | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,870,340.36        | \$6,284,550.00  |
|                  | 7 Muni Tax Exempt -                                 | Fixed Rate                        |                      |                 |                  |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,777,727,25       | \$12,612,621.20 |
| TIB THE INDI     | 882723UE7<br>382139201502091<br>EPENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS       |                      | 2.441           | Aaa<br>AAA       | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,740.33          | \$466,632.00    |
| ************     | 1 Muni Taxable - Fixe                               | d Rate                            |                      |                 |                  |                                  | \$450,000,00                   | \$450,000.00         | \$451,740,33          | \$466,632.00    |
| TIBD<br>TEXAS IN | 83165AWW0<br>485268201801301<br>NDPT BANK - DALLAS  | SBA Pool #522<br>4/25/2041<br>AFS | 2261                 | 522261<br>3.121 |                  | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$927,792.10         | \$1,003,658.44        | \$984,331.75    |
|                  | 1 SBA Loan Pools - V                                | ariable Rate                      |                      |                 |                  |                                  | \$2,300,000,00                 | \$927,792,10         | \$1,003,658,44        | \$984,331,75    |
|                  |   |                                   |                      |                 |                  |                                  |                                |                      |                       |                 |

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

11/3/2020 9:43:55 AM

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

\$13,275,000.00 \$11,902,792.10

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Date: 31-Oct-20

\$13,233,126,02 \$14,063,584,95

Published: Safekeeping

Location

Cusip Description Code Original Face Pledged Pledged Pledged Pledged Pool Moody Maturity Prerefund StdPoor Pledged Percent Original Face Value Par Value Book Value Market Value Coupon FAS 115

9 To: WCE WILLIAMSON COUNTY ESD #3 Total Pledged

> \$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

\$0.00 Other securities with Stated Maturity Under 2 Years \$927,792.10 Other securities with Stated Maturity Over 2 Years

 $\ensuremath{^{\star\star}}$  If no data is shown, then there are no pledges for the current period.

Published: 11/30/2020 9:50:34 AM

Management Report Pledged To: WILLIAMSON COUNTY ESD #3

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VeraBank, N.A., Henderson, TX

| Safekeeping<br>Code<br>Location | ng<br>Cusip<br>Trans#   | Description<br>Maturity Prerefund<br>FAS 115       | Pool<br>Coupon                          | Moody                                     | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value | Pledged<br>Market Value |
|---------------------------------|---|--|---|---|----------------------------------|--------------------------------|----------------------|-----------------------|-------------------------|
| TIBD<br>TEXAS IN                | TIBD 929845SX4<br>485251201801301<br>TEXAS INDPT BANK - DALLAS  | WACO TX INDEP SCH DIST<br>8/15/2028<br>AFS         | 4.000                                   | Aaa<br>AAA                                | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$870,928.57          | \$928,207.50            |
| TIBD<br>TEXAS IN                | TIBD 929845TY1<br>485252201801301<br>TEXAS INDPT BANK - DALLAS  | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS         | 4.000                                   | AAA                                       | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,072,068.67        | \$1,175,270.00          |
| TIBD<br>TEXAS IN                | TIBD 041806KG4<br>485247201801301<br>TEXAS INDPT BANK - DALLAS  | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS | 4.000                                   | AAA                                       | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,010,998.49        | \$1,087,449.60          |
| TIBD<br>TEXAS II                | TIBD 717087ZE3<br>485135201801301<br>TEXAS INDPT BANK - DALLAS  | PFLUGERVILLE TX<br>8/1/2032<br>AFS                 | 4.000                                   | Aa1<br>AA                                 | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,052,789.13        | \$1,168,940.00          |
| TIB<br>THE IND                  | TIB 421020M39<br>547977202003311<br>THE INDEPENDENT BANKERS     | HAYS CNTY TX<br>2/15/2037<br>AFS                   | 4.000                                   | AA  | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,456,018.65        | \$1,557,842.25          |
| TIBD<br>TEXAS II                | TIBD 093365EY6<br>485250201801301<br>TEXAS INDPT BANK - DALLAS  | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS        | 4.000                                   | Aaa                                       | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$439,076.03          | \$466,642.60            |
| TIB<br>THE IND                  | TIB 882854Q70<br>546521202003241<br>THE INDEPENDENT BANKERS     | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS           | 5.000                                   | AAA                                       | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,861,223.66        | \$6,356,000.00          |
|                                 | 7 Muni Tax Exempt - Fixed Rate                                  | Fixed Rate_  |   |   |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,763,103,20       | \$12,740,351,95         |
| TIB<br>THE IND                  | TIB 882723UE7<br>382139201502091<br>THE INDEPENDENT BANKERS     | TEXAS ST<br>8/1/2022<br>AFS                        | 2.441                                   | Aaa<br>AAA                                | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,657.46          | \$466,128.00            |
|                                 | 1 Muni Taxable - Fixed Rate                                     | d Rate   |   |   |                                  | \$450,000.00                   | \$450,000.00         | \$451,657,46          | \$466.128.00            |
| TIBD<br>TEXAS II                | TIBD 83165AVWV0<br>485268201801301<br>TEXAS INDPT BANK - DALLAS | SBA Pool #522261<br>4/25/2041<br>AFS               | 522261<br>3.121                         |   | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$925,079.69         | \$1,000,059.90        | \$981,241.28            |
|                                 | 1 SBA Loan Pools - Variable Rate                                | /ariable Rate                                      | 2 d d d d d d d d d d d d d d d d d d d | 1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1 |                                  | \$2,300,000.00                 | \$925,079.69         | \$1,000,059.90        | \$981,241,28            |

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

Published: 11/30/2020 9:50:34 AM

Safekeeping

Pledged Percent Original Face Moody StdPoor Coupon Pool Prerefund Description Maturity Trans# Cusip Code

Original Face Value

Pledged

Par Value

\$14,187,721,23

\$13,214,820.56

Book Value

Pledged Market Value

Date: 30-Nov-20 Page: 48

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

\$11,900,079.69 \$13,275,000.00 9 To: WCE WILLIAMSON COUNTY ESD #3 FAS 115 Total Pledged Location

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

\$925,079.69 Other securities with Stated Maturity Over 2 Years

\$0.00 Other securities with Stated Maturity Under 2 Years

\*\* If no data is shown, then there are no pledges for the current period.

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Section V-C

Management Report Pledged To: WILLIAMSON COUNTY ESD #3

| Safekeeping<br>Code<br>Location   | <u>ing</u><br>Cusip<br>Trans#                                  | Description<br>Maturity Prerefund<br>FAS 115       | Pool            | Moody<br>StdPoor | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value | Pledged<br>Market Value |
|---|--|--|-----------------|------------------|----------------------------------|--------------------------------|----------------------|-----------------------|-------------------------|
| TIBD<br>TEXAS IN  | TIBD 929845SX4<br>485251201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2028<br>AFS         | 4.000           | Aaa<br>AAA       | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$869,895.70          | \$925,839.75            |
| TIBD<br>TEXAS IN  | TIBD 929845TY1<br>485252201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS         | 4.000           | AAA              | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,071,016.06        | \$1,173,100.00          |
| TIBD<br>TEXAS II  | TIBD 041806KG4<br>485247201801301<br>TEXAS INDPT BANK - DALLAS | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS | ۲PE<br>4.000    | AAA              | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,010,253.62        | \$1,085,971.20          |
| TIBD<br>TEXAS II  | TIBD 717087ZE3<br>485135201801301<br>TEXAS INDPT BANK - DALLAS | PFLUGERVILLE TX<br>8/1/2032<br>AFS                 | 4.000           | Aa1<br>AA        | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,052,012.82        | \$1,166,840.00          |
| TIB<br>THE IND  | TIB 421020M39<br>547977202003311<br>THE INDEPENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS                   | 4.000           | AA               | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,454,503.40        | \$1,559,021.50          |
| TIBD<br>TEXAS II  | TIBD 093365EY6<br>485250201801301<br>TEXAS INDPT BANK - DALLAS | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS        | 4.000           | Aaa              | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$438,690.61          | \$466,717.30            |
| TIB<br>THE IND  | TIB 882854Q70<br>546521202003241<br>THE INDEPENDENT BANKERS    | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS           | 5.000           | AAA              | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,852,106.97        | \$6,401,400.00          |
|   | Z Muni Tax Exempt - Fixed Rate                                 | Fixed Rate   |                 |                  |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,748,479.18       | \$12,778,889.75         |
| TIB<br>THE IND  | TIB 882723UE7<br>382139201502091<br>THE INDEPENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS                        | 2.441           | Aaa<br>AAA       | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,574.58          | \$466,015.50            |
| 2<br>2<br>2<br>3<br>4<br>8<br>8<br>8<br>8<br>2<br>1<br>2<br>2<br>2<br>2<br>2<br>3<br>3<br>4<br>4<br>2<br>4<br>4<br>4<br>4<br>4<br>5<br>4<br>5<br>4<br>5<br>4<br>7<br>4<br>7<br>4<br>7<br>4<br>7<br>4<br>7 | 1 Muni Taxable - Fixed Rate                                    | d Rate   |                 |                  |                                  | \$450,000.00                   | \$450,000.00         | \$451,574.58          | \$466,015.50            |
| TIBD<br>TEXAS II  | TIBD 83165AWW0<br>485268201801301<br>TEXAS INDPT BANK - DALLAS | SBA Pool #522261<br>4/25/2041<br>AFS               | 522261<br>3.121 |                  | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$922,360.21         | \$996,460.94          | \$977,941.64            |
| 1<br>1<br>2<br>6<br>8<br>8<br>9<br>9<br>4   | 1 SBA Loan Pools - Variable Rate                               | <u> 'ariable Rate</u>                              |                 |                  |                                  | \$2,300,000.00                 | \$922,360,21         | \$996,460.94          | \$977,941.64            |

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

Section V-C

Date: 31-Dec-20

Published: 12/31/2020 10:37:16 AM

VeraBank, N.A., Henderson, TX

Trans# Cusip Safekeeping Code

Prerefund Description Maturity FAS 115 9 To: WCE WILLIAMSON COUNTY ESD #3

Total Pledged

Location

Moody StdPoor Pool Coupon

Original Face Value Pledged Percent Original Face

Pledged

Book Value

Market Value Pledged

Pledged

Par Value

\$14,222,846.89

\$13,196,514.70

\$11,897,360.21

\$13,275,000.00

Other securities with Stated Maturity Under 2 Years

\$0.00 \$922,360.21

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

Other securities with Stated Maturity Over 2 Years

Pledged

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Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

\*\* If no data is shown, then there are no pledges for the current period.

#### **WILLIAMSON COUNTY EMERGENCY SERVICES DISTRICT #3**

#### **1st QUARTER INVESTMENT REPORT**

#### FY2020-2021

This Quarterly Investment Report is for the period October 1, 2020 through December 31, 2020:

1. Summary information for each Williamson County Emergency Services District #3 bank account including beginning balance for the reporting period, additions and changes in the value during the period, ending balance for the reporting period and interest received during the period.

| Vera Bank Public Funds Interest Checking Accounts | January 2021    | February 2021   | March 2021      | Quarter Average |
|---|-----------------|-----------------|-----------------|-----------------|
| Beginning<br>Balance                              | \$13,628,721.58 | \$13,988,222.13 | \$13,932,056.70 | \$13,849,666.80 |
| Deposits  | \$1,265,482.65  | \$1,435,663.11  | \$1,274,563.66  | \$1,325,236.47  |
| Withdrawals                                       | \$905,982.10    | \$1,491,828.54  | \$1,514,238.08  | \$1,304,016.24  |
| Interest Earned                                   | \$6,858.01      | \$6,187.20      | \$6,823.05      | \$6,622.75      |
| Ending Balance                                    | \$13,988,222.13 | \$13,932,056.70 | \$13,692,382.28 | \$13,870,887.04 |
| % Yield Average                                   | 0.58%           | 0.58%           | 0.58%           | 0.58%           |

## 2. Summary of *General Fund* account:

Beginning balance at January 1, 2021: \$2,650,132.45 Average balance for Quarter: \$2,221,831.56 Average collected balance for Quarter: \$2,221,831.00 Ending balance at March 31, 2021: \$1,767,623.41

## 3. Summary of Sales & Use Tax account:

Beginning balance at January 1, 2021: \$4,237,717.34 Average balance for Quarter: \$4,799,489.24 Average collected balance for Quarter: \$4,799,488.67 Ending balance at March 31, 2021: \$5,298,320.12

## 4. Summary of *Payroll* account:

Beginning balance at January 1, 2021: \$73,508.06 Average balance for Quarter: \$279,154.90 Average collected balance for Quarter: \$279,154.33 Ending balance at March 31, 2021: \$289,686.99

#### 5. Summary of Federal Grants account:

Beginning balance at January 1, 2021: \$214.50 Average balance for Quarter: \$214.61 Average collected balance for Quarter: \$214.00 Ending balance at March 31, 2021: \$214.82

## 6. Summary of Regular Reserve account:

Beginning balance at January 1, 2021: \$493,562.19 Average balance for Quarter: \$493,797.51 Average collected balance for Quarter: \$493,797.33 Ending balance at March 31, 2021: \$494,268.39

## 7. Summary of *Debt Service Reserve* account:

Beginning balance at January 1, 2021: \$340,663.15 Average balance for Quarter: \$340,825.57 Average collected balance for Quarter: \$340,825.00 Ending balance at March 31, 2021: \$341,150.58

## 8. Summary of Fire Station Reserve account:

Beginning balance at January 1, 2021: \$523,450.41 Average balance for Quarter: \$523,699.98 Average collected balance for Quarter: \$523,699.67 Ending balance at March 31, 2021: \$524,199.36

| 9. | Summary | of | Construction | account: |
|----|---------|----|--------------|----------|
|----|---------|----|--------------|----------|

Beginning balance at January 1, 2021: \$5,152,838.29 Average balance for Quarter: \$5,077,259.98 Average collected balance for Quarter: \$5,077,259.33 Ending balance at March 31, 2021: \$4,820,059.30

## 10. Summary of Fire Apparatus Reserve account:

Beginning balance at January 1, 2021: \$156,635.19 Average balance for Quarter: \$156,709.87 Average collected balance for Quarter: \$156,709.67 Ending balance at March 31, 2021: \$156,859.31

## 11. Pledged Investments (Attached)

Submitted by:

Anne Cano, Treasurer / Investment Officer

Prepared by:

Scott D. Kerwood, Fire Chief

Management Report Pledged To: WILLIAMSON COUNTY ESD #3

Date: 31-Jan-21 Page: 48

Section V-C

Safekeeping

| Code                                    | Cusip<br>Trans#  | Description<br>Maturity Prerefund<br>FAS 115       | Pool   | Moody   | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value | Pledged<br>Market Value |
|---|--|--|--|---|----------------------------------|--------------------------------|----------------------|-----------------------|-------------------------|
| TIBD<br>TEXAS IN                        | TIBD 929845SX4<br>485251201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2028<br>AFS         | 4.000  | Aaa<br>AAA  | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$868,862.82          | \$923,232.75            |
| TIBD<br>TEXAS IN                        | TIBD 929845TY1<br>485252201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS         | 4.000  | AAA   | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,069,963.45        | \$1,168,670.00          |
| TIBD<br>TEXAS IN                        | TIBD 041806KG4<br>485247201801301<br>TEXAS INDPT BANK - DALLAS | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS | 4.000  | AAA   | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,009,508.76        | \$1,082,428.80          |
| TIBD<br>TEXAS IN                        | TIBD 717087ZE3<br>485135201801301<br>TEXAS INDPT BANK - DALLAS | PFLUGERVILLE TX<br>8/1/2032<br>AFS                 | 4.000  | Aa1<br>AA   | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,051,236.50        | \$1,167,240.00          |
| TIB<br>THE INDE                         | TIB 421020M39<br>547977202003311<br>THE INDEPENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS                   | 4.000  | AA  | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,452,988.15        | \$1,550,356.00          |
| TIBD<br>TEXAS IN                        | TIBD 093365EY6<br>485250201801301<br>TEXAS INDPT BANK - DALLAS | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS        | 4.000  | Aaa   | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$438,305.19          | \$464,463.85            |
| TIB<br>THE INDI                         | TIB 882854Q70<br>546521202003241<br>THE INDEPENDENT BANKERS    | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS           | 5.000  | AAA   | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,842,990.28        | \$6,384,700.00          |
| 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | 7 Muni Tax Exempt - Fixed Rate                                 | Fixed Rate   |  | # # # # # # # # # # # # # # # # # # #   |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,733,855.15       | \$12,741,091.40         |
| TIB<br>THE INDI                         | TIB 882723UE7<br>382139201502091<br>THE INDEPENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS                        | 2.441  | Aaa<br>AAA  | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,491.71          | \$465,336.00            |
| 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1   | 1 Muni Taxable - Fixed Rate                                    | d Rate   | 1<br>7<br>7<br>0<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1 | 1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1 |                                  | \$450,000.00                   | \$450,000.00         | \$451,491.71          | \$465,336.00            |
| TIBD<br>TEXAS IN                        | TIBD 83165AWW0<br>485268201801301<br>TEXAS INDPT BANK - DALLAS | SBA Pool #522261<br>4/25/2041<br>AFS               | 522261<br>3.121  |   | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$919,633.66         | \$992,861.72          | \$974,857.66            |
|   | 1 SBA Loan Pools - Variable Rate                               | 'ariable Rate                                      | 1<br>2<br>2<br>3<br>4<br>4<br>5<br>4<br>1<br>1   |   |                                  | \$2.300.000.00                 | <u>\$919,633.66</u>  | \$992,861.72          | \$974,857.66            |

 $<sup>^{**}</sup>$  If no data is shown, then there are no pledges for the current period.

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Published:

Safekeeping

Pool Coupon Prerefund Description Maturity FAS 115 Trans# Cusip Location Code

Pledged Percent Original Face Moody StdPoor

Pledged Original Face Value Pledged

Book Value

Market Value Pledged

Pledged

Date: 31-Jan-21 Page: 49

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

Par Value

\$13,178,208.58

\$14,181,285.06

\$11,894,633.66

\$0.00 Other securities with Stated Maturity Under 2 Years \$919,633.66 Other securities with Stated Maturity Over 2 Years

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

9 To: WCE WILLIAMSON COUNTY ESD #3

Total Pledged

\$13,275,000.00

\*\* If no data is shown, then there are no pledges for the current period.

Date: 28-Feb-21 Page: 48

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Pledged To: WILLIAMSON COUNTY ESD #3

VeraBank, N.A., Henderson, TX

3/2/2021 6:57:07 AM Published:

Market Value \$478,565.55 \$6,314,200.00 \$12,669,651.45 \$464,742.00 \$971,904.84 \$932,060.25 \$1,076,534.40 \$464,742.00 \$971,904.84 \$1,162,330.00 \$1,165,450.00 \$1,540,511.25 **Book Value** \$1,008,763.89 \$1,050,460.19 \$1,451,472.90 \$5,833,873.58 \$11,719,231.10 \$451,408.84 \$989,305.38 \$1,068,910.84 \$437,919.76 \$451,408,84 \$989,305.38 \$867,829.94 Pledged Par Value \$1,000,000.00 \$960,000.00 \$1,325,000.00 \$415,000.00 \$5,000,000.00 \$10,525,000.00 \$825,000.00 \$1,000,000.00 \$450,000.00 \$450,000.00 \$916,900.01 \$916,900.01 Original Face Value \$825,000.00 \$1,000,000.00 \$960,000.00 \$1,000,000.00 \$1,325,000.00 \$415,000.00 \$5,000,000.00 \$10,525,000.00 \$450,000.00 \$450,000.00 \$2,300,000.00 \$2,300,000.00 Pledged Percent Original Face 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% \$5,000,000.00 \$2,300,000.00 \$1,000,000.00 \$960,000.00 \$1,000,000.00 \$1,325,000.00 \$415,000.00 \$450,000.00 \$825,000.00 Moody StdPoor AAA AAA AAA AAA AAA Aaa Aa1 AA Aaa AA Pool Coupon 4.000 4.000 4.000 4.000 4.000 5.000 4.000 2.441 3.121 522261 ARLINGTON TX HGR EDU FIN CORPE BLAND TX INDEP SCH DIST WACO TX INDEP SCH DIST WACO TX INDEP SCH DIST TEXAS ST WTR DEV BRD Prerefund PFLUGERVILLE TX SBA Pool #522261 HAYS CNTY TX Description 1 SBA Loan Pools - Variable Rate TEXAS ST Maturity 8/15/2030 8/15/2039 4/15/2049 FAS 115 8/15/2028 8/15/2031 2/15/2037 Z Muni Tax Exempt - Fixed Rate 4/25/2041 8/1/2032 8/1/2022 AFS 1 Muni Taxable - Fixed Rate AFS AFS AFS AFS AFS AFS AFS AFS THE INDEPENDENT BANKERS THE INDEPENDENT BANKERS THE INDEPENDENT BANKERS TEXAS INDPT BANK - DALLAS 546521202003241 485252201801301 485135201801301 547977202003311 485268201801301 485247201801301 485250201801301 382139201502091 485251201801301 83165AWW0 421020M39 093365EY6 041806KG4 882854Q70 717087ZE3 882723UE7 929845SX4 929845TY1 Trans# Cusip Safekeeping Location TIBD TIBD TIBD TIBD TIBD TIBD Code TIB TIB TIB

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

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Safekeeping

Prerefund Description Maturity Trans# Cusip Location Code

9 To: WCE WILLIAMSON COUNTY ESD #3

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

Pledged Percent Original Face

Pledged Market Value

\$14,106,298,29

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

Date: 28-Feb-21 Page: 49

Book Value \$13,159,945.32 Pledged Par Value \$11,891,900.01 Original Face Value \$13,275,000.00 StdPoor Moody Pool Coupon FAS 115 Total Pledged

\$0.00 Other securities with Stated Maturity Under 2 Years \$916,900.01 Other securities with Stated Maturity Over 2 Years

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

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Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

VeraBank, N.A., Henderson, TX

3/26/2021 8:11:40 AM Published:

Market Value \$926,433.75 \$1,148,470.00 \$1,064,294.40 \$1,154,450.00 \$1,512,646.50 \$471,523.00 \$6,204,700.00 \$12,482,517.65 \$463,815.00 \$463.815.00 \$968,762.00 \$968,762.00 Book Value Pledged \$11,704,607.09 \$1,067,858.23 \$1,008,019.03 \$1,049,683.88 \$1,449,957.65 \$5,824,756.89 \$437,534.34 \$451,325.96 \$451,325,96 \$985,704.63 \$985,704,63 \$866,797.07 Par Value Pledged \$1,000,000.00 \$1,325,000.00 \$5,000,000.00 \$10,525,000.00 \$1,000,000.00 \$960,000.00 \$415,000.00 \$450,000.00 \$450,000.00 \$914,159.27 \$914,159.27 \$825,000.00 Original Face Value \$825,000.00 \$1,000,000.00 \$960,000.00 \$1,000,000.00 \$1,325,000.00 \$415,000.00 \$5,000,000.00 \$10,525,000.00 \$450,000.00 \$2,300,000.00 \$2,300,000.00 \$450,000.00 Pledged Percent Original Face 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% \$5,000,000.00 \$1,000,000.00 \$960,000.00 \$1,000,000.00 \$1,325,000.00 \$415,000.00 \$2,300,000.00 \$825,000.00 \$450,000.00 Moody StdPoor AAA AAA AAA AAA AAA Aaa Aaa Aaa Aa1 AA A Pool Coupon 4.000 4.000 4.000 4.000 4.000 5.000 4.000 2.441 3.121 522261 ARLINGTON TX HGR EDU FIN CORPE @ 100 BLAND TX INDEP SCH DIST WACO TX INDEP SCH DIST WACO TX INDEP SCH DIST TEXAS ST WTR DEV BRD Prerefund 8/15/2024 PFLUGERVILLE TX SBA Pool #522261 HAYS CNTY TX Description 1 SBA Loan Pools - Variable Rate Maturity TEXAS ST 8/15/2028 8/15/2039 4/15/2049 8/15/2030 8/15/2031 2/15/2037 7 Muni Tax Exempt - Fixed Rate 4/25/2041 FAS 115 8/1/2032 8/1/2022 1 Muni Taxable - Fixed Rate AFS AFS AFS AFS AFS AFS AFS AFS AFS THE INDEPENDENT BANKERS THE INDEPENDENT BANKERS THE INDEPENDENT BANKERS TEXAS INDPT BANK - DALLAS 382139201502091 485252201801301 485247201801301 485135201801301 547977202003311 485250201801301 546521202003241 485268201801301 485251201801301 83165AWW0 093365EY6 041806KG4 717087ZE3 421020M39 882854Q70 882723UE7 929845TY1 929845SX4 Trans# Cusip Safekeeping Location TIBD TIBD TIBD TIBD Code TIBD TIBD TIB TIB TIB

\*\* If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

3/26/2021 8:11:40 AM Published:

Safekeeping

Pledged Percent Original Face StdPoor Moody Pool Coupon Prerefund Description Maturity Trans# Cusip Location Code

Par Value Original Face Value

Book Value

Pledged Market Value

\$13,915,094.65

\$13,141,637.68

\$11,889,159.27

\$13,275,000,00

Pledged

Date: 31-Mar-21 Page: 49

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

9 To: WCE WILLIAMSON COUNTY ESD #3 FAS 115

Total Pledged

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

Other securities with Stated Maturity Under 2 Years \$914,159.27 Other securities with Stated Maturity Over 2 Years \$0.00

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

#### **WILLIAMSON COUNTY EMERGENCY SERVICES DISTRICT #3**

#### **3rd QUARTER INVESTMENT REPORT**

#### FY2020-2021

This Quarterly Investment Report is for the period April 1, 2021 through June 30, 2021:

1. Summary information for each Williamson County Emergency Services District #3 bank account including beginning balance for the reporting period, additions and changes in the value during the period, ending balance for the reporting period and interest received during the period.

| Vera Bank  Public Funds Interest Checking Accounts | April 2021      | May 2021        | June 2021       | Quarter Average |
|--|-----------------|-----------------|-----------------|-----------------|
| Beginning<br>Balance                               | \$13,692,382.28 | \$13,408,306.21 | \$13,431,983.43 | \$13,510,890.64 |
| Deposits   | \$687,498.84    | \$1,262,423.20  | \$835,267.50    | \$928,396.51    |
| Withdrawals  | \$971,574.91    | \$1,238,745.98  | \$920,183.82    | \$1,043,501.57  |
| Interest Earned                                    | \$6,494.57      | \$6,598.39      | \$6,379.53      | \$6,490.83      |
| Ending Balance                                     | \$13,408,306.21 | \$13,431,983.43 | \$13,347,067.11 | \$13,395,785.58 |
| % Yield Average                                    | 0.58%           | 0.58%           | 0.58%           | 0.58%           |

# 2. Summary of *General Fund* account:

Beginning balance on April 1, 2021: \$1,767,623.41
Average balance for Quarter: \$1,153,321.09
Average collected balance for Quarter: \$1,153,320.67
Ending balance on June 30, 2021: \$857,529.03

## 3. Summary of Sales & Use Tax account:

Beginning balance on April 1, 2021: \$5,298,320.12 Average balance for Quarter: \$5,757,471.36 Average collected balance for Quarter: \$5,757,470.67 Ending balance on June 30, 2021: \$5,760,115.22

## 4. Summary of Payroll account:

Beginning balance on April 1, 2021: \$289,686.99 Average balance for Quarter: \$271,026.61 Average collected balance for Quarter: \$261,026.00 Ending balance on June 30, 2021: \$512,130.83

## 5. Summary of Federal Grants account:

Beginning balance on April 1, 2021: \$214.82 Average balance for Quarter: \$214.92 Average collected balance for Quarter: \$214.33 Ending balance on June 30, 2021: \$215.13

## 6. Summary of Regular Reserve account:

Beginning balance on April 1, 2021: \$494,268.39 Average balance for Quarter: \$494,506.67 Average collected balance for Quarter: \$494,506.33 Ending balance on June 30, 2021: \$494,983.45

# 7. Summary of Debt Service Reserve account:

Beginning balance on April 1, 2021: \$341,150.58 Average balance for Quarter: \$341,315.04 Average collected balance for Quarter: \$341,314.67 Ending balance on June 30, 2021: \$341,644.13

# 8. Summary of Fire Station Reserve account:

Beginning balance on April 1, 2021: \$524,199.36 Average balance for Quarter: \$524,452.07 Average collected balance for Quarter: \$524,451.61 Ending balance on June 30, 2021: \$524,957.73

| 9. | Summary of | Construction | account: |
|----|------------|--------------|----------|
|----|------------|--------------|----------|

Beginning balance on April 1, 2021: \$4,820,059.30 Average balance for Quarter: \$4,767,749.57 Average collected balance for Quarter: \$4,767,749.33 Ending balance on June 30, 2021: \$4,698,405.34

## 10. Summary of Fire Apparatus Reserve account:

Beginning balance on January 1, 2021: \$156,859.31 Average balance for Quarter: \$156,934.93 Average collected balance for Quarter: \$156,934.67 Ending balance on June 30, 2021: \$157,086.25

## 11. Pledged Investments (Attached)

Submitted by:

Anne Cano, Treasurer / Investment Officer

Prepared by:

Scott D. Kerwood, Fire Chief

Reportfolio VeraBank, N.A., Henderson, TX Published: 5/25/2021 4:04:41 PM

Management Report Pledged To: WILLIAMSON COUNTY ESD #3 Date: 31-May-21

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| Safekeepir<br>Code<br>Location | ng<br>Cusip<br>Trans#                              | Description Maturity Prerefund FAS 115                     | Pool<br>Coupon  | Moody<br>StdPoor | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value |                        |
|--------------------------------|--|--|-----------------|------------------|----------------------------------|--------------------------------|----------------------|-----------------------|------------------------|
| TIBD<br>TEXAS IN               | 929845SX4<br>485251201801301<br>IDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2028 8/15/2024 @ 100<br>AFS | 4.000           | Aaa<br>AAA       | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$864,731.31          | \$923,092.50           |
| TIBD<br>TEXAS IN               | 929845TY1<br>485252201801301<br>DPT BANK - DALLAS  | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS                 | 4.000           | AAA              | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,065,753.01        | \$1,156,640.00         |
| TIBD<br>TEXAS IN               | 041806KG4<br>485247201801301<br>DPT BANK - DALLAS  | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS         | 4.000           | AAA              | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,006,529.29        | \$1,065,782.40         |
| TIBD<br>TEXAS IN               | 717087ZE3<br>485135201801301<br>DPT BANK - DALLAS  | PFLUGERVILLE TX<br>8/1/2032<br>AFS                         | 4.000           | Aa1<br>AA        | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,048,131.26        | \$1,154,670.00         |
| TIB THE INDE                   | 421020M39<br>547977202003311<br>PENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS                           | 4.000           | AA               | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,446,927.15        | \$1,525,313.50         |
| TIBD<br>TEXAS IN               | 093365EY6<br>485250201801301<br>DPT BANK - DALLAS  | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS                | 4.000           | Aaa              | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$436,763.50          | \$473,685.15           |
| TIB                            | 882854Q70<br>546521202003241<br>PENDENT BANKERS    | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS                   | 5.000           | AAA              | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,806,523.50        | \$6,271,200.00         |
|                                | 7 Muni Tax Exempt - I                              | Fixed Rate   |                 |                  |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,675,359.02       | <u>\$12,570,383.55</u> |
| TIB THE INDE                   | 882723UE7<br>382139201502091<br>PENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS                                | 2,441           | Aaa<br>AAA       | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,160.22          | \$462,316.50           |
|                                | 1 Muni Taxable - Fixed                             | d Rate   |                 |                  |                                  | \$450,000,00                   | \$450,000.00         | <u>\$451,160,22</u>   | \$462,316.50           |
| TIBD<br>TEXAS INI              | 83165AWW0<br>485268201801301<br>DPT BANK - DALLAS  | SBA Pool #522261<br>4/25/2041<br>AFS                       | 522261<br>3.121 |                  | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$902,155.11         | \$971,500.74          | \$955,679.97           |
|                                | 1 SBA Loan Pools - V                               | ariable Rate   |                 |                  |                                  | \$2,300,000,00                 | \$902,155,11         | \$971,500,74          | \$955,679,97           |

 $<sup>\</sup>ensuremath{^{**}}$  If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

Published: 5/25/2021 4:04:41 PM

Management Report Pledged To: WILLIAMSON COUNTY ESD #3

Section V-C

Date: 31-May-21

Page: 49

Safekeeping Code

Location

Cusip

Trans#

Maturity

Description FAS 115

Prerefund

Pool Moody Coupon StdPoor

Original Face Pledged Percent

Pledged Original Face Value

\$13,275,000,00 \$11,877,155.11

Pledged Par Value

Pledged Pledged Book Value Market Value

\$13,098,019.98 \$13,988,380.02

Total Pledged

9 To: WCE WILLIAMSON COUNTY ESD #3

\$450,000.00 Munis with Maturity Under 2 Years

\$10,525,000.00 Munis with Maturity Over 2 Years

\$0.00 Other securities with Stated Maturity Under 2 Years

\$902,155.11 Other securities with Stated Maturity Over 2 Years

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

Reportfolio VeraBank, N.A., Henderson, TX Published: 6/29/2021 8:11:10 AM Management Report
Pledged To: WILLIAMSON COUNTY ESD #3

Date: 30-Jun-21

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| Safekeepi<br>Code<br>Location | Cusip<br>Trans#                                    | Description Maturity Prerefund FAS 115              | С          | Pool        | Moody<br>StdPoor | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value |                 |
|-------------------------------|--|---|------------|-------------|------------------|----------------------------------|--------------------------------|----------------------|-----------------------|-----------------|
| TIBD<br>TEXAS IN              | 929845SX4<br>485251201801301<br>NDPT BANK - DALLAS | WACO TX INDEP SCH DIS<br>8/15/2028 8/15/2024<br>AFS |            | 4.000       | Aaa<br>AAA       | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$863,698.44          | \$920,650.50    |
| TIBD<br>TEXAS IN              | 929845TY1<br>485252201801301<br>NDPT BANK - DALLAS | WACO TX INDEP SCH DIS<br>8/15/2030<br>AFS           |            | 4.000       | AAA              | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,064,700.40        | \$1,155,270.00  |
| TIBD<br>TEXAS IN              | 041806KG4<br>485247201801301<br>IDPT BANK - DALLAS | ARLINGTON TX HGR EDU<br>8/15/2031<br>AFS            |            | 4.000       | AAA              | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,005,784.43        | \$1,064,630.40  |
| TIBD<br>TEXAS IN              | 717087ZE3<br>485135201801301<br>IDPT BANK - DALLAS | PFLUGERVILLE TX<br>8/1/2032<br>AFS                  | 4          | 4.000       | Aa1<br>AA        | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,047,354.95        | \$1,157,620.00  |
| TIB THE INDE                  | 421020M39<br>547977202003311<br>PENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS                    | 4          | 4.000       | AA               | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,445,411.90        | \$1,529,500.50  |
| TIBD<br>TEXAS IN              | 093365EY6<br>485250201801301<br>DPT BANK - DALLAS  | BLAND TX INDEP SCH DIS<br>8/15/2039<br>AFS          |            | 4.000       | Aaa              | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$436,378.07          | \$474,369.90    |
| TIB THE INDE                  | 882854Q70<br>546521202003241<br>PENDENT BANKERS    | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS            | 5          | 5.000       | AAA              | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,797,406.81        | \$6,319,700.00  |
|                               | 7 Muni Tax Exempt - F                              | ixed Rate   |            |             |                  |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,660,735,00       | \$12,621,741.30 |
| TIB THE INDE                  | 882723UE7<br>382139201502091<br>PENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS                         | 2          | .441        | Aaa<br>AAA       | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$451,077.35          | \$460,701.00    |
|                               | 1 Muni Taxable - Fixed                             | Rate  |            |             |                  |                                  | \$450,000,00                   | \$450,000.00         | \$451,077.35          | \$460,701.00    |
| TIBD<br>TEXAS INI             | 83165AWW0<br>485268201801301<br>DPT BANK - DALLAS  | SBA Pool #522261<br>4/25/2041<br>AFS                | 522:<br>3. | 261<br>.121 |                  | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$899,412.68         | \$967,924.30          | \$952,603.95    |
|                               | 1 SBA Loan Pools - Va                              | riable Rate   |            |             |                  |                                  | \$2,300,000.00                 | \$899,412.68         | \$967,924.30          | \$952,603,95    |

 $<sup>\</sup>ensuremath{^{\star\star}}$  If no data is shown, then there are no pledges for the current period.

Reportfolio VeraBank, N.A., Henderson, TX

Published: 6/29/2021 8:11:10 AM

Safekeeping

Code Cusip Trans#

Description Maturity

FAS 115

Prerefund

Pool Coupon StdPoor

Moody

Management Report

Pledged To: WILLIAMSON COUNTY ESD #3

Original Face Pledged Percent

Pledged Original Face Value

\$13,275,000.00 \$11,874,412.68

Pledged Par Value

Section V-C

Pledged Book Value Market Value

\$13,079,736.65 \$14,035,046.25

Pledged

Date: 30-Jun-21

Page: 49

Total Pledged

Location

9 To: WCE WILLIAMSON COUNTY ESD #3

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

\$0.00 Other securities with Stated Maturity Under 2 Years \$899,412.68 Other securities with Stated Maturity Over 2 Years

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

#### **WILLIAMSON COUNTY EMERGENCY SERVICES DISTRICT #3**

#### 4th QUARTER INVESTMENT REPORT

#### FY2020-2021

This Quarterly Investment Report is for the period April 1, 2021 through June 30, 2021:

1. Summary information for each Williamson County Emergency Services District #3 bank account including beginning balance for the reporting period, additions and changes in the value during the period, ending balance for the reporting period and interest received during the period.

| Vera Bank Public Funds Interest Checking Accounts | July 2021       | August 2021     | September 2021  | Quarter Average |
|---|-----------------|-----------------|-----------------|-----------------|
| Beginning<br>Balance                              | \$13,347,067.11 | \$13,039,908.81 | \$13,120,663.13 | \$13,169,213.02 |
| Deposits  | \$1,133,544.94  | \$696,885.07    | \$1,359,228.77  | \$1,063,219.59  |
| Withdrawals                                       | \$1,440,703.24  | \$616,130.75    | \$1,384,086.93  | \$1,146,973.64  |
| Interest Earned                                   | \$6,540.62      | \$6,485.04      | \$6,281.97      | \$6,435.88      |
| Ending Balance                                    | \$13,039,908.81 | \$13,120,663.13 | \$13,095,804.97 | \$13,085,458.97 |
| % Yield Average                                   | 0.58%           | 0.58%           | 0.58%           | 0.58%           |

# 2. Summary of *General Fund* account:

Beginning balance on July 1, 2021: \$857,529.03 Average balance for Quarter: \$720,954.01 Average collected balance for Quarter: \$720,953.67 Ending balance on September 30, 2021: \$599,076.95

# 3. Summary of Sales & Use Tax account:

| Beginning balance on July 1, 2021:     | \$5,760,115.22 |
|--|----------------|
| Average balance for Quarter:           | \$5,977,920.88 |
| Average collected balance for Quarter: | \$5,977,920.00 |
| Ending balance on September 30, 2021:  | \$5,811,191.91 |

# 4. Summary of *Payroll* account:

| Beginning balance on July 1, 2021:     | \$512,130.83 |
|--|--------------|
| Average balance for Quarter:           | \$339,507.96 |
| Average collected balance for Quarter: | \$339507.67  |
| Ending balance on September 30, 2021:  | \$218,078.78 |

# 5. Summary of *Federal Grants* account:

| Beginning balance on July 1, 2021:     | \$215.13 |
|--|----------|
| Average balance for Quarter:           | \$215.24 |
| Average collected balance for Quarter: | \$215.00 |
| Ending balance on September 30, 2021:  | \$215.45 |

## 6. Summary of Regular Reserve account:

| Beginning balance on July 1, 2021:     | \$494,983.45 |
|--|--------------|
| Average balance for Quarter:           | \$568,210.13 |
| Average collected balance for Quarter: | \$568,209.67 |
| Ending balance on September 30, 2021:  | \$906,340.14 |

# 7. Summary of *Debt Service Reserve* account:

| Beginning balance on July 1, 2021:     | \$341,644.13 |
|--|--------------|
| Average balance for Quarter:           | \$341,812.45 |
| Average collected balance for Quarter: | \$341,812.00 |
| Ending balance on September 30, 2021:  | \$342,143.83 |

# 8. Summary of Fire Station Reserve account:

| Beginning balance on July 1, 2021:     | \$524,957.73 |
|--|--------------|
| Average balance for Quarter:           | \$524,216.37 |
| Average collected balance for Quarter: | \$525,216.00 |
| Ending balance on September 30, 2021:  | \$525,725.55 |

| 9. Summary of <i>Construction</i> as | account: |
|--------------------------------------|----------|
|--------------------------------------|----------|

Beginning balance on April 1, 2021: \$4,698,405.34 Average balance for Quarter: \$4,575,747.85 Average collected balance for Quarter: \$4,575,747.33 Ending balance on June 30, 2021: \$4,535,716.35

## 10. Summary of Fire Apparatus Reserve account:

Beginning balance on January 1, 2021: \$157,086.25 Average balance for Quarter: \$157,163.64 Average collected balance for Quarter: \$157,163.33 Ending balance on June 30, 2021: \$157,316.01

## 11. Pledged Investments (Attached)

Submitted by:

Anne Cano, Treasurer / Investment Officer

Prepared by:

Scott D. Kerwood, Fire Chief

Date: 30-Sep-21 Page: 51

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Pledged To: WILLIAMSON COUNTY ESD #3 Management Report

Published: 9/30/2021 9:04:09 AM

VeraBank, N.A., Henderson, TX

| Safekeeping<br>Code<br>Location | <u>oing</u><br>Cusip<br>Trans#                                 | Description<br>Maturity<br>FAS 115   | Prerefund  | Pool                                    | Moody<br>StdPoor | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value | Pledged<br>Market Value |
|---------------------------------|--|--------------------------------------|--|---|------------------|----------------------------------|--------------------------------|----------------------|-----------------------|-------------------------|
| TIBD                            | TIBD 929845SX4<br>485251201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX IN<br>8/15/2028<br>AFS       | WACO TX INDEP SCH DIST<br>8/15/2028 8/15/2024 @ 100<br>AFS | 4.000                                   | Aaa<br>AAA       | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$860,599.81          | \$915,601.50            |
| TIBD                            | TIBD 929845TY1<br>485252201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX IN<br>8/15/2030<br>AFS       | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS                 | 4.000                                   | AAA              | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,061,542.57        | \$1,152,460.00          |
| TIBD                            | TIBD 041806KG4<br>485247201801301<br>TEXAS INDPT BANK - DALLAS | ARLINGTON<br>8/15/2031<br>AFS        | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS         | 4.000                                   | AAA              | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,003,549.83        | \$1,080,297.60          |
| TIBD                            | TIBD 717087ZE3<br>485135201801301<br>TEXAS INDPT BANK - DALLAS | PFLUGERVILLE TX<br>8/1/2032<br>AFS   | ILE TX   | 4.000                                   | Aa1<br>AA        | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,045,026.02        | \$1,154,630.00          |
| THE IN                          | TIB 421020M39<br>547977202003311<br>THE INDEPENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS     | ΧŢ   | 4.000                                   | AA               | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,440,866.15        | \$1,527,672.00          |
| TIBD                            | TIBD 093365EY6<br>485250201801301<br>TEXAS INDPT BANK - DALLAS | BLAND TX IN<br>8/15/2039<br>AFS      | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS                | 4.000                                   | Aaa              | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$435,221.81          | \$471,423.40            |
| TIB<br>THE IN                   | TIB 882854Q70<br>546521202003241<br>THE INDEPENDENT BANKERS    | , , ,                                | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS                   | 5.000                                   | AAA              | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,770,056.73        | \$6,304,850.00          |
|                                 | Z Muni Tax Exempt - Fixed Rate                                 | Fixed Rate                           |  |   |                  |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,616,862.92       | \$12,606,934.50         |
| THB THE INC                     | TIB 882723UE7<br>382139201502091<br>THE INDEPENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS          |  | 2.441                                   | Aaa<br>AAA       | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$450,828.73          | \$458,991.00            |
|                                 | 1 Muni Taxable - Fixed Rate                                    | d Rate                               |  |   |                  |                                  | \$450,000.00                   | \$450,000.00         | \$450,828.73          | \$458,991.00            |
| TIBD                            | TIBD 83165AWW0<br>485268201801301<br>TEXAS INDPT BANK - DALLAS | SBA Pool #522261<br>4/25/2041<br>AFS | 522261   | 522261<br>3.121                         |                  | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$891,142.52         | \$957,191.45          | \$943,568.43            |
|                                 | 1 SBA Loan Pools - Variable Rate                               | /ariable Rate                        |  | 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 |                  |                                  | \$2,300,000.00                 | \$891,142.52         | \$957,191.45          | \$943,568.43            |

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

9/30/2021 9:04:09 AM Published:

Safekeeping

Prerefund Description Maturity FAS 115 Trans# Cusip Location Code

Pledged Percent Original Face StdPoor Moody

Pool Coupon

Original Face Value

Par Value Pledged

\$13,024,883.10 \$14,009,493.93

\$11,866,142.52

\$13,275,000.00

Pledged

Pledged Market Value

Book Value

Date: 30-Sep-21 Page: 52

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

9 To: WCE WILLIAMSON COUNTY ESD #3 Total Pledged

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

\$891,142.52 Other securities with Stated Maturity Over 2 Years

Other securities with Stated Maturity Under 2 Years

\$0.00

\*\* If no data is shown, then there are no pledges for the current period.

VeraBank, N.A., Henderson, TX

Published:

9/9/2021 1:18:59 PM

Pledged To: WILLIAMSON COUNTY ESD #3 Management Report

Section V-C

Date: 31-Aug-21 Page: 50

| Safekeeping<br>Code<br>Location         | pping<br>Cusip<br>Trans#                                       | Description<br>Maturity Prerefund<br>FAS 115               | Pool   | Moody<br>StdPoor                        | Original Face<br>Pledged Percent | Pledged<br>Original Face Value | Pledged<br>Par Value | Pledged<br>Book Value | Pledged<br>Market Value |
|---|--|--|--------|---|----------------------------------|--------------------------------|----------------------|-----------------------|-------------------------|
| TIBD                                    | TIBD 929845SX4<br>485251201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2028 8/15/2024 @ 100<br>AFS | 4.000  | Aaa<br>AAA                              | \$825,000.00<br>100.00%          | \$825,000.00                   | \$825,000.00         | \$861,632.69          | \$918,984.00            |
| TIBD                                    | TIBD 929845TY1<br>485252201801301<br>TEXAS INDPT BANK - DALLAS | WACO TX INDEP SCH DIST<br>8/15/2030<br>AFS                 | 4.000  | AAA                                     | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,062,595.18        | \$1,156,670.00          |
| TIBD                                    | TIBD 041806KG4<br>485247201801301<br>TEXAS INDPT BANK - DALLAS | ARLINGTON TX HGR EDU FIN CORPE<br>8/15/2031<br>AFS         | 4.000  | AAA                                     | \$960,000.00<br>100.00%          | \$960,000.00                   | \$960,000.00         | \$1,004,294.70        | \$1,083,840.00          |
| TIBD                                    | TIBD 717087ZE3<br>485135201801301<br>TEXAS INDPT BANK - DALLAS | PFLUGERVILLE TX<br>8/1/2032<br>AFS                         | 4.000  | Aa1<br>AA                               | \$1,000,000.00<br>100.00%        | \$1,000,000.00                 | \$1,000,000.00       | \$1,045,802.33        | \$1,158,880.00          |
| TIB<br>THE IN                           | TIB 421020M39<br>547977202003311<br>THE INDEPENDENT BANKERS    | HAYS CNTY TX<br>2/15/2037<br>AFS                           | 4.000  | AA                                      | \$1,325,000.00<br>100.00%        | \$1,325,000.00                 | \$1,325,000.00       | \$1,442,381.40        | \$1,525,870.00          |
| TIBD                                    | TIBD 093365EY6<br>485250201801301<br>TEXAS INDPT BANK - DALLAS | BLAND TX INDEP SCH DIST<br>8/15/2039<br>AFS                | 4.000  | Ааа                                     | \$415,000.00<br>100.00%          | \$415,000.00                   | \$415,000.00         | \$435,607.23          | \$473,054.35            |
| TB<br>TH<br>N                           | TIB 882854Q70<br>546521202003241<br>THE INDEPENDENT BANKERS    | TEXAS ST WTR DEV BRD<br>4/15/2049<br>AFS                   | 5.000  | AAA                                     | \$5,000,000.00<br>100.00%        | \$5,000,000.00                 | \$5,000,000.00       | \$5,779,173.42        | \$6,328,700.00          |
| 1 | Z Muni Tax Exempt - Fixed Rate                                 | Fixed Rate   |        |   |                                  | \$10,525,000.00                | \$10,525,000.00      | \$11,631,486.95       | \$12,645,998.35         |
| THE THE                                 | TIB 882723UE7<br>382139201502091<br>THE INDEPENDENT BANKERS    | TEXAS ST<br>8/1/2022<br>AFS                                | 2.441  | Aaa<br>AAA                              | \$450,000.00<br>100.00%          | \$450,000.00                   | \$450,000.00         | \$450,911.60          | \$459,702.00            |
|   | 1 Muni Taxable - Fixed Rate                                    | d Rate   |        |   |                                  | \$450,000.00                   | \$450,000.00         | \$450,911.60          | \$459,702.00            |
| TIBD                                    | TIBD 83165AWW0<br>485268201801301<br>TEXAS INDPT BANK - DALLAS | SBA Pool #522261<br>4/25/2041<br>AFS                       | 522261 |   | \$2,300,000.00<br>100.00%        | \$2,300,000.00                 | \$893,906.41         | \$960,769.52          | \$946,655.83            |
| 1                                       | 1 SBA Loan Pools - Variable Rate                               | 'ariable Rate  |        | 1 |                                  | \$2,300,000.00                 | \$893,906.41         | \$960,769.52          | \$946,655.83            |

<sup>\*\*</sup> If no data is shown, then there are no pledges for the current period.

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VeraBank, N.A., Henderson, TX

9/9/2021 1:18:59 PM Published:

Cusip Safekeeping Code

Prerefund Description Maturity FAS 115 Trans# Location

Coupon Pool

Pledged Percent Original Face StdPoor Moody

Original Face Value

Pledged

Par Value

\$13,043,168.07 \$14,052,356.18 \$11,868,906.41

Pledged Book Value

Market Value

Pledged

Date: 31-Aug-21 Page: 51

Section V-C

Pledged To: WILLIAMSON COUNTY ESD #3

Management Report

\$13,275,000.00 9 To: WCE WILLIAMSON COUNTY ESD #3 Total Pledged

\$450,000.00 Munis with Maturity Under 2 Years \$10,525,000.00 Munis with Maturity Over 2 Years

Other securities with Stated Maturity Under 2 Years \$893,906.41 Other securities with Stated Maturity Over 2 Years \$0.00

\*\* If no data is shown, then there are no pledges for the current period.